



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: )  
Peter Antony FARRAR et al ) Examiner: Jacob K. Ackun  
Serial No. 09/954,535 ) Group Art Unit: 3712  
Filed: September 18, 2001 )  
For: APPARATUS FOR HOLDING A COMPACT DISC )

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO at \_\_\_\_\_ on April 23, 2004.

Signature: \_\_\_\_\_

Name: Stuart J. Friedman

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted before the first Office Action on the merits after filing of a request for continued examination under §1.114. Therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the

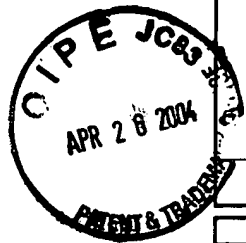
attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form and that it be returned to the undersigned.

Respectfully submitted,

By: 

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/954,535
				Filing Date	September 18, 2001
				First Named Inventor	Peter Antony FARRAR
				Art Unit	3712
				Examiner Name	Jacob K. Ackun
Sheet	1	of	1	Attorney Docket Number	027721-3

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,251,750	10-12-1993	Paul J. Gelardi et al	
		US-5,586,651	12-24-1996	Josef Krummenacher	
		US-5,685,427	11-11-1997	Thomas H. Kuitens et al	
		US-5,788,068	08-04-1998	Anthony Henry Joseph Fraser et al	
		US-5,887,713	03-30-1999	Jeffrey M. Smith et al	
		US-5,944,181	08-31-1999	Kwok Din Lau	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		GB 2,312,665 A	11-05-1997	Dubois Ltd; Dubois PLC		
		WO 97/41563 A1	11-06-97	Dubois Ltd.		
		JP 50 051082	03-02-1993	Media Sutatsufu:KK	Abstract	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.